

## 2 Preliminaries

We introduce in this section the basic definitions and the terminology that we use throughout this thesis. In what follows,  $M$  is a Riemannian compact manifold without boundary and, for  $r \geq 1$ ,  $\text{Diff}^r(M)$  is the space of all  $C^r$  diffeomorphisms from  $M$  to itself endowed with the usual topology.

The orbit, the forward orbit, and the backward orbit of a set  $X \subset M$  with respect to a diffeomorphism  $f$  are denoted, respectively, by  $\mathcal{O}_f(X)$ ,  $\mathcal{O}_f^+(X)$ , and  $\mathcal{O}_f^-(X)$ .

For every  $f \in \text{Diff}^1(M)$  and every open subset  $U$  of  $M$ , we define the maximal  $f$ -invariant set of  $f$  in  $U$  by

$$\Lambda_f(U) := \bigcap_{n \in \mathbb{Z}} f^n(U).$$

**Remark 2.1** In principle, the set  $\Lambda_f(U)$  may be empty. However, if there is a point  $x \in M$  such that  $\mathcal{O}_f(x) \subset U$ , then  $\mathcal{O}_f(x) \subset \Lambda_f(U)$ .

With respect to a diffeomorphism  $f \in \text{Diff}^1(M)$ , a compact invariant set  $\Lambda \subset M$  is said to be:

- *Isolated or locally maximal*: If there is an open neighborhood  $U$  of  $\Lambda$  such that  $\Lambda = \Lambda_f(U)$ . Equivalently,  $\Lambda$  is the maximal invariant subset of  $f$  in  $U$ . Any open neighborhood  $U$  of  $\Lambda$  satisfying  $\Lambda = \Lambda_f(U)$  is called an *isolating block* of  $\Lambda$ .
- An *attractor*: If there is an open neighborhood  $U$  of  $\Lambda$  such that  $f(\overline{U}) \subset U$  and  $\Lambda = \bigcap_{n \in \mathbb{N}} f^n(U)$ . We call  $\Lambda$  a *proper* attractor if  $\Lambda \neq M$ , and thus  $U \neq M$ .
- *Transitive*: If there is  $x \in \Lambda$  such that its forward orbit  $\mathcal{O}_f^+(x)$  is dense in  $\Lambda$ . In our setting, this is equivalent to the following property: Given any pair  $V_1, V_2$  of (relative) nonempty open sets of  $\Lambda$ , there is  $n \in \mathbb{Z}$  such that  $f^n(V_1) \cap V_2 \neq \emptyset$ .

- *Topologically mixing*: If for any pair  $V_1, V_2$  of (relative) open sets of  $\Lambda$ , there is  $n \in \mathbb{N}$  such that  $f^m(V_1) \cap V_2 \neq \emptyset$  for all  $m \geq n$ .
- *Robustly transitive set (resp. attractor)*: If there are an isolating block  $U$  of  $\Lambda$  and a neighborhood  $\mathcal{U}$  of  $f$  such that, for every  $g \in \mathcal{U}$ , the set  $\Lambda_g(U)$  is a compact transitive set (resp. attractor) with respect to  $g$ .
- *Generically transitive set (resp. attractor)*: If there are an isolating block  $U$  of  $\Lambda$ , a neighborhood  $\mathcal{U}$  of  $f$ , and a residual subset  $\mathcal{R} \subset \mathcal{U}$  such that, for every  $g \in \mathcal{R}$ , the set  $\Lambda_g(U)$  is a compact transitive set (resp. attractor) with respect to  $g$ .

**Remark 2.2** Isolated sets vary, *a priori*, just upper semicontinuously (see Scholium 4.19 for a proof). By an abuse of terminology, we call the set  $\Lambda_g(U)$  the *continuation* of the set  $\Lambda_f(U)$  when  $g$  varies in a small neighborhood of  $f$ .

**Remark 2.3** An attractor  $\Lambda$  of a diffeomorphism  $f$  is an isolated set, so we also denote it by  $\Lambda_f(U)$  for some isolating block  $U$  of  $\Lambda$ . Observe that if  $g$  is close enough to  $f$  then the continuation  $\Lambda_g(U)$  of  $\Lambda_f(U)$  is also an attractor for  $g$ . Clearly, if  $\Lambda_f(U)$  is proper so is the continuations  $\Lambda_g(U)$ .

**Remark 2.4** In the definition of attractors, some authors requires the additional property that  $\Lambda_f(U)$  is transitive. We do not follow this convention. The reason is that we want to talk about the continuation of the attractor as in Remark 2.3, and transitivity is not a robust property.